

Polytopes, Toric Varieties, and Ideals

A Look at Pyramids, Prisms, and Products

Lisa Byrne

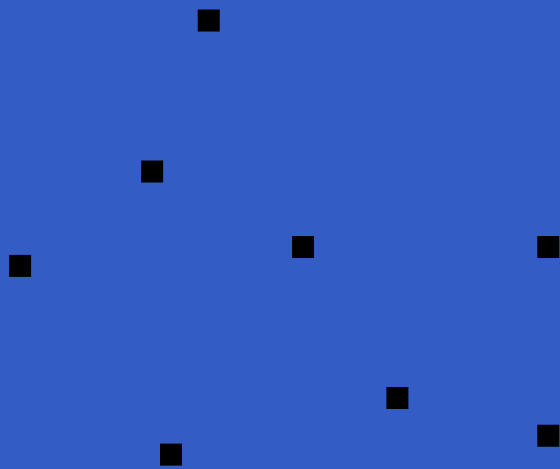
ldbyrne@smcm.edu

Mount Holyoke College REU

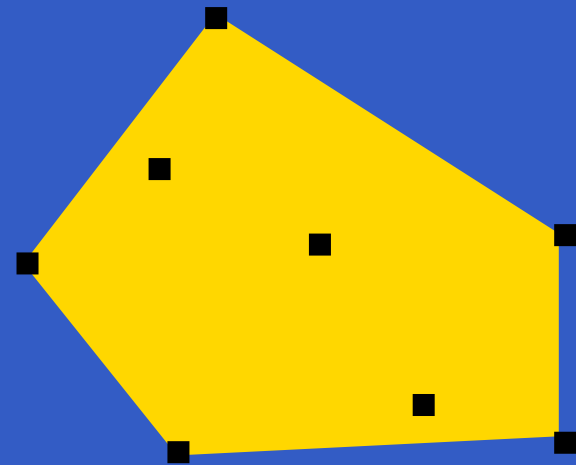
Summer 2005

Polytopes

Take a finite collection of elements in $\mathbb{Z}^n \subset \mathbb{R}^n$. A **lattice polytope** is the convex hull of these integer points.



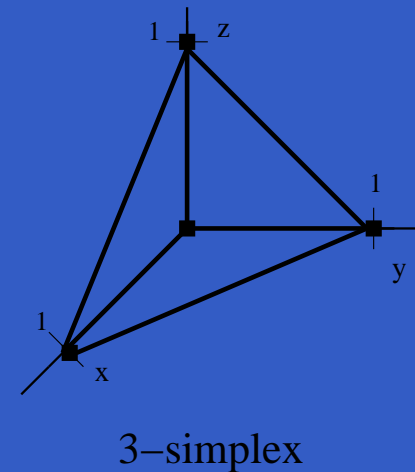
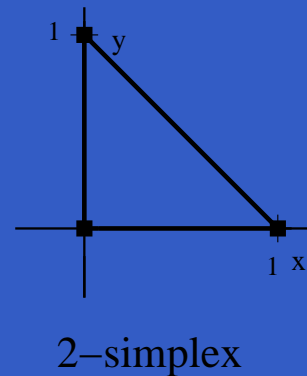
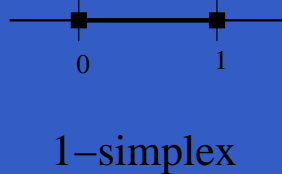
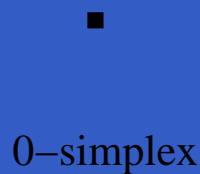
Finite Set of Points



Lattice Polytope

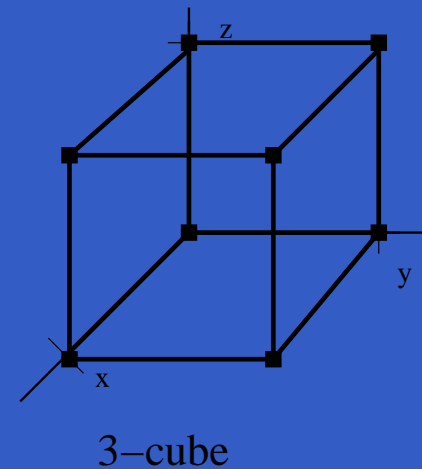
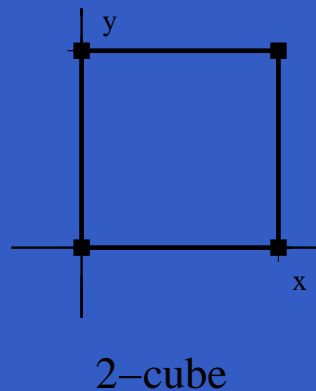
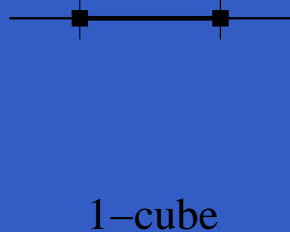
Examples of Polytopes: Simplices

Definition: A **standard lattice n -simplex**, $\Delta_n \subset \mathbb{R}^n$, is the convex hull of 0 and the standard basis vectors.



Examples of Polytopes: Cubes

Definition: The **standard n -cube** $\subseteq \mathbb{R}^n$ is the convex hull of all points whose coordinates are made up of 0's and 1's.



Defining a Map

Definition: Let K be a field. Let $\underline{t} = (t_1, t_2, \dots, t_n) \in (K^*)^n$ and let $\underline{a} = (a_1, a_2, \dots, a_n) \in \mathbb{Z}^n$. Define $\underline{t}^{\underline{a}} = t_1^{a_1} t_2^{a_2} \dots t_n^{a_n}$.

Example: $(x, y)^{(1,2)} = xy^2$

Defining a Map

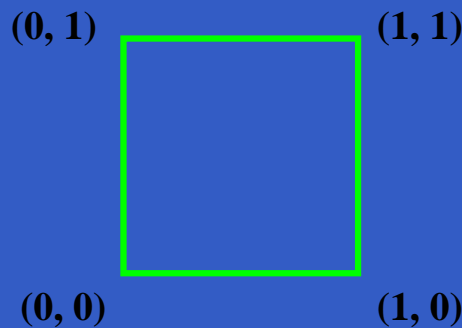
Definition: Let K be a field. Let $\underline{t} = (t_1, t_2, \dots, t_n) \in (K^*)^n$ and let $\underline{a} = (a_1, a_2, \dots, a_n) \in \mathbb{Z}^n$. Define $\underline{t}^{\underline{a}} = t_1^{a_1} t_2^{a_2} \dots t_n^{a_n}$.

Definition: Let P be a lattice polytope $\subset \mathbb{R}^n$ with $P \cap \mathbb{Z}^n = \{\underline{a}_0, \dots, \underline{a}_m\}$. $\phi_P : (\mathbb{C}^*)^n \longrightarrow \mathbb{P}^m$, where $\phi_P(\underline{t}) = [\underline{t}^{\underline{a}_0} : \dots : \underline{t}^{\underline{a}_m}]$.

Example 1

Let $P = 2$ -cube.

$$P \cap \mathbb{Z}^2 = \{(0, 0), (1, 0), (0, 1), (1, 1)\}.$$



$$\phi_P : (\mathbb{C}^*)^2 \longrightarrow \mathbb{P}^3, \phi_P((t_1, t_2)) = [1 : t_1 : t_2 : t_1 t_2].$$

$\text{Im}(\phi_P) = \{[\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2] \mid \lambda \neq 0\}$, as we account for homogeneous coordinates.

Toric Varieties and Ideals

Definition: Let P be a n -dimensional lattice polytope $\subseteq \mathbb{R}^n$ with $|P \cap \mathbb{Z}^n| = m + 1$. The closure of the image of ϕ_P in $\mathbb{P}_{\mathbb{C}}^m$ is the **projective toric variety** X_P .

Toric Varieties and Ideals

Definition: Let P be a n -dimensional lattice polytope $\subseteq \mathbb{R}^n$ with $|P \cap \mathbb{Z}^n| = m + 1$. The closure of the image of ϕ_P in $\mathbb{P}_{\mathbb{C}}^m$ is the **projective toric variety** X_P .

Definition: The **ideal of X_P** is
$$I(X_P) = \{f \in \mathbb{C}[x_0, \dots, x_m] \mid f(\underline{a}) = 0, \forall \underline{a} \in X_P\}.$$

Toric Varieties and Ideals

Definition: The ideal of X_P is

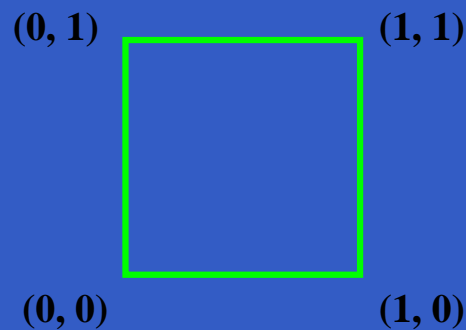
$$I(X_P) = \{f \in \mathbb{C}[x_0, \dots, x_m] \mid f(\underline{a}) = 0, \forall \underline{a} \in X_P\}.$$

Proposition: Let $f \in \mathbb{C}[x_0, \dots, x_m]$.

$f(\underline{a}) = 0, \forall \underline{a} \in X_P$ if and only if $f(\underline{b}) = 0, \forall \underline{b} \in \text{Im}\phi_P$.

Example 1 continued

$$\text{Im}(\phi_P) = \{[\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2] \mid \lambda \neq 0\}.$$
$$X_P = \overline{\text{Im}(\phi_P)}.$$



$$I(X_P) = \{f \in \mathbb{C}[x_0, \dots, x_3] \mid f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2]) = 0\}$$

where $\lambda \neq 0$.

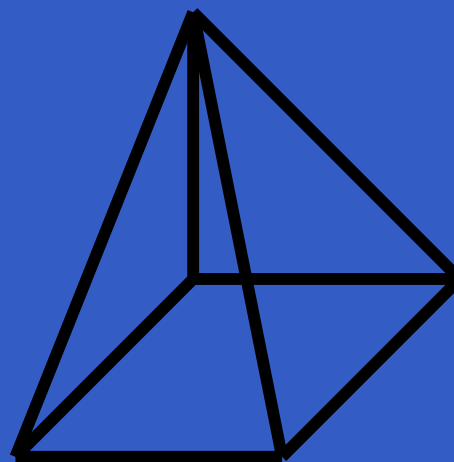
Using Macaulay2, $I(X_P) = \langle x_1 x_2 - x_0 x_3 \rangle$

Pyramids

Definition: Let P be a d -dimensional polytope $\subset \mathbb{R}^n$, $n > d$. The **pyramid of P** , $\text{pyr}(P)$, is convex hull of P and $q \in \mathbb{R}^n$ where $q \notin \text{aff}(P)$.



P



$\text{pyr}(P)$

Pyramids and Ideals

Let $P \subseteq \mathbb{R}^n \times \{0\} \subseteq \mathbb{R}^{n+1}$ be a lattice polytope,
 $|P \cap \mathbb{Z}^n| = m + 1$.

Let $\text{pyr}(P) \subseteq \mathbb{R}^{n+1}$ equal convex hull of P and \underline{q}
where $\underline{q} = (q_1, \dots, q_n, 1)$.

Proposition: $I(X_P)$ and $I(X_{\text{pyr}(P)})$ have the
same generators.

Pyramids and Ideals

Let $P \subseteq \mathbb{R}^n \times \{0\} \subseteq \mathbb{R}^{n+1}$ be a lattice polytope,
 $|P \cap \mathbb{Z}^n| = m + 1$.

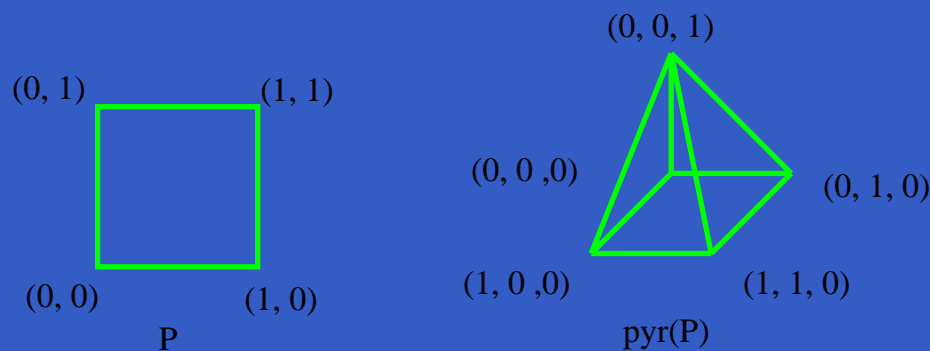
Let $\text{pyr}(P) \subseteq \mathbb{R}^{n+1}$ equal convex hull of P and \underline{q}
where $\underline{q} = (q_1, \dots, q_n, 1)$.

Proposition: $I(X_P)$ and $I(X_{\text{pyr}(P)})$ have the
same generators.

Remark: $I(X_P) \subseteq \mathbb{C}[x_0, \dots, x_m]$ and $I(X_{\text{pyr}(P)}) \subseteq$
 $\mathbb{C}[x_0, \dots, x_{m+1}]$.

Idea of Proof

Let $P = 2\text{-cube}$. Let $\underline{q} = (0, 0, 1)$. $I(X_P) = \langle x_1x_2 - x_0x_3 \rangle$ and $\text{Im}(\phi_P) = \{[\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2] \mid \lambda \neq 0\}$.



$\text{Im}(\phi_{\text{pyr}(P)}) = \{[\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3] \mid \lambda \neq 0\}$

What do elements of $I(X_{\text{pyr}(P)})$ look like?

Attempts

Let's try to form a polynomial $f \in \mathbb{C}[x_0, \dots, x_4]$ such that $f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3]) = 0$.

Attempts

Let's try to form a polynomial $f \in \mathbb{C}[x_0, \dots, x_4]$ such that $f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3]) = 0$.

Attempt 1: $x_0 x_3 - x_2 x_4 = \lambda^2 t_1 t_2 - \lambda^2 t_2 t_3 \neq 0$

Attempts

Let's try to form a polynomial $f \in \mathbb{C}[x_0, \dots, x_4]$ such that $f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3]) = 0$.

Attempt 1: $x_0 x_3 - x_2 x_4 = \lambda^2 t_1 t_2 - \lambda^2 t_2 t_3 \neq 0$

Attempt 2: $x_1 x_2 x_4 - x_0 x_4^2 = \lambda^3 t_1 t_2 t_3 - \lambda^3 t_3^2 \neq 0$

Attempts

Let's try to form a polynomial $f \in \mathbb{C}[x_0, \dots, x_4]$ such that $f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3]) = 0$.

Attempt 1: $x_0 x_3 - x_2 x_4 = \lambda^2 t_1 t_2 - \lambda^2 t_2 t_3 \neq 0$

Attempt 2: $x_1 x_2 x_4 - x_0 x_4^2 = \lambda^3 t_1 t_2 t_3 - \lambda^3 t_3^2 \neq 0$

Attempt 3:

$$x_3 x_0 x_4^2 - x_3 x_2 x_4^2 = \lambda^4 t_1 t_2 t_3^2 - \lambda^4 t_1 t_2^2 t_3^2 \neq 0$$

Attempts

Let's try to form a polynomial $f \in \mathbb{C}[x_0, \dots, x_4]$ such that $f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3]) = 0$.

Attempt 3:

$$x_3 x_0 x_4^2 - x_3 x_2 x_4^2 = \lambda^4 t_1 t_2 t_3^2 - \lambda^4 t_1 t_2^2 t_3^2 \neq 0$$

Why didn't Attempt 3 work?

$$\begin{aligned} x_3 x_0 x_4^2 - x_3 x_2 x_4^2 &= x_4^2 (x_3 x_0 - x_3 x_2) \\ &= \lambda^2 t_3^2 (\lambda^2 t_1 t_2 - \lambda^2 t_1 t_2^2) \end{aligned}$$

Problem: $\lambda^2 t_1 t_2 - \lambda^2 t_1 t_2^2 \neq 0$.

In other words: $x_3 x_0 - x_3 x_2 \notin I(X_P)$

Attempts

Let's try to form a polynomial $f \in \mathbb{C}[x_0, \dots, x_4]$ such that $f([\lambda : \lambda t_1 : \lambda t_2 : \lambda t_1 t_2 : \lambda t_3]) = 0$.

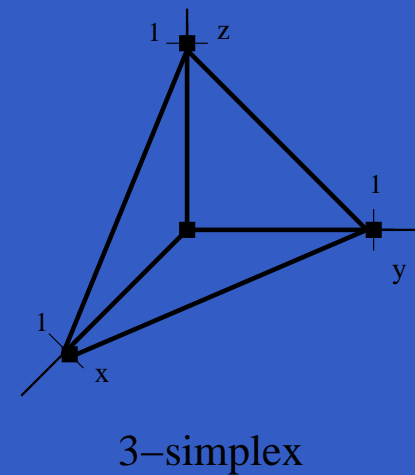
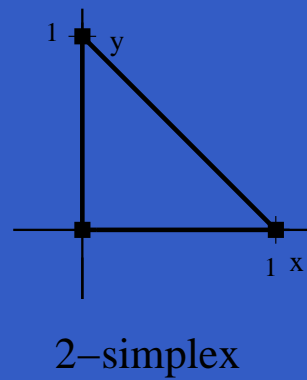
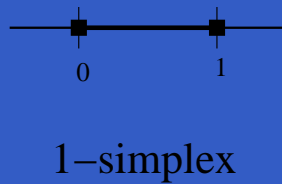
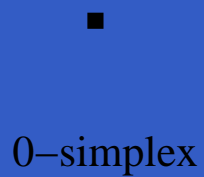
Recall $I(X_P) = \langle x_1 x_2 - x_0 x_3 \rangle$

Attempt 4:

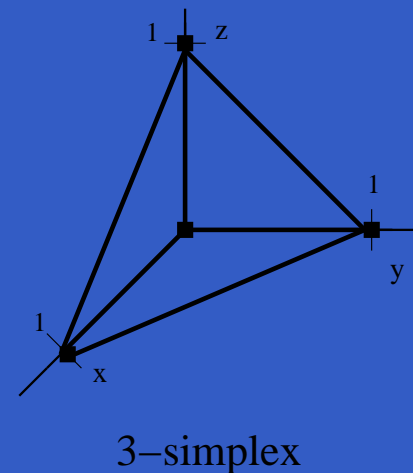
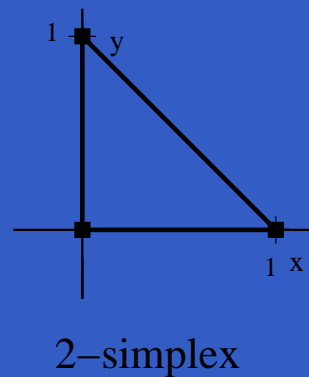
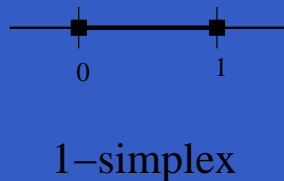
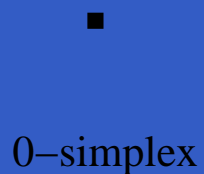
$$x_4^2(x_1 x_2 - x_0 x_3) = \lambda^2 t_3^2 (\lambda^2 t_1 t_2 - \lambda^2 t_1 t_2) = 0$$

Thus $f = x_4^k h(x_0, \dots, x_3)$ where $k \in \mathbb{N}$ and $h \in I(X_P)$.

Back to Simplices



Back to Simplices



Thus $I(X_{\Delta_0}) = I(X_{\Delta_1}) = \dots = I(X_{\Delta_n}) = \dots$

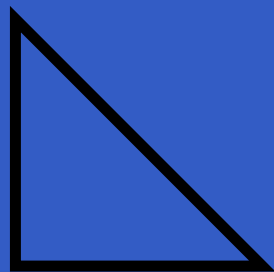
$\text{Im } \phi_{\Delta_0} = \{[\lambda] \mid \lambda \neq 0\}$.

$I(X_{\Delta_0}) = \langle 0 \rangle$.

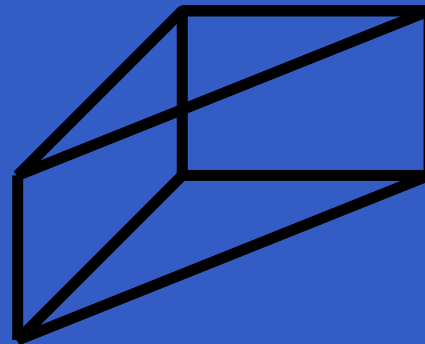
Therefore $I(X_{\Delta_n}) = \langle 0 \rangle$ for all n .

Prisms

The **product** of two polytopes $P \subseteq \mathbb{R}^m$ and $Q \subseteq \mathbb{R}^n$ is $P \times Q = \{(p, q) \in \mathbb{R}^{m+n} \mid p \in P, q \in Q\}$.
The product $P \times [0, 1]$ is called the **prism of P**.



P



prism of P

Prisms and Ideals

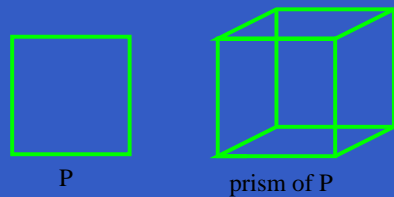
Proposition: Let P be a full-dimensional lattice polytope in \mathbb{R}^n . There are two copies of $I(X_P)$ in $I(X_{P \times [0,1]})$.

Example of Proposition

Let $P = 2\text{-cube}$. $P \times [0, 1] = 3\text{-cube}$.

$$P \cap \mathbb{Z}^2 = \{(0, 0), (1, 0), (0, 1), (1, 1)\}.$$

$$(P \times [0, 1]) \cap \mathbb{Z}^3 = \{(0, 0, 0), (1, 0, 0), (0, 1, 0), (1, 1, 0), (0, 0, 1), (1, 0, 1), (0, 1, 1), (1, 1, 1)\}.$$



$$I(X_P) = \langle x_1x_2 - x_0x_3 \rangle$$

$$I(X_{P \times [0,1]}) = \langle y_1y_2 - y_0y_3, x_3y_2 - x_2y_3, x_1y_2 - x_0y_3, x_3y_1 - x_1y_3, x_2y_1 - x_0y_3, x_3y_0 - x_0y_3, x_2y_0 - x_0y_2, x_1y_0 - x_0y_1, x_1x_2 - x_0x_3 \rangle.$$

Products

Conjecture: If P and Q are lattice polytopes,
 $I(X_P) \subset I(X_{P \times Q})$ and $I(X_Q) \subset I(X_{P \times Q})$.

Acknowledgments

- Dr. Jessica Sidman for helping me refine my ideas, notation, and this presentation.
- My fellow REU students: Sarah Gilles, Vince Lyzinski, Aaron Wolbach, and Frances Worek.
- Sarah Gilles for providing the pictures of the n -simplices and n -cubes.
- Macaulay2 for calculating ideals for me.
- Funding for the work presented here comes from NSF DMS-0353700.