

# Igusa local zeta functions and $p$ -adic analysis

*Newton polyhedra and degenerate polynomials*

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# Introduction

An introduction to  $p$ -adic valuation...

Given a number  $a \in \mathbb{Q}$ , the  $p$ -adic absolute value of  $a$ , denoted  $|a|_p$ , is defined as

$$|a|_p = \begin{cases} p^{-ord_p(a)} & \text{if } a \neq 0 \\ 0 & \text{if } a = 0. \end{cases}$$

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- $ord_5\left(\frac{1}{25}\right) = -2 \Rightarrow \left|\frac{1}{25}\right|_5 = 5^2 = 25$
- $ord_3(18) = 2 \Rightarrow |18|_3 = 3^{-2} = \frac{1}{9}$

# $p$ -adic numbers

- The field of all  $p$ -adic numbers,  $\mathbb{Q}_p$ , is defined as all equivalence classes of  $p$ -adic Cauchy sequences. A sequence  $\{x_i\}$  is Cauchy if for all  $\epsilon$  there exists  $N \in \mathbb{N}$  such that if  $m, n > N$ , then  $|x_n - x_m| < \epsilon$ .

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- The ring of  $p$ -adic integers,  $\mathbb{Z}_p$ , is composed of all  $p$ -adic numbers  $a \in \mathbb{Q}_p$  with  $|a|_p \leq 1$ .

Every  $p$ -adic integer  $a$  has the form

$$a = a_0 + pa_1 + p^2a_2 + \dots + p^m a_m + \dots$$

for some  $m \in \mathbb{Z}$ .

# $p$ -adic numbers

The *units* in  $\mathbb{Z}_p$  are all  $p$ -adic integers  $a$  with

$$|a|_p = 1.$$

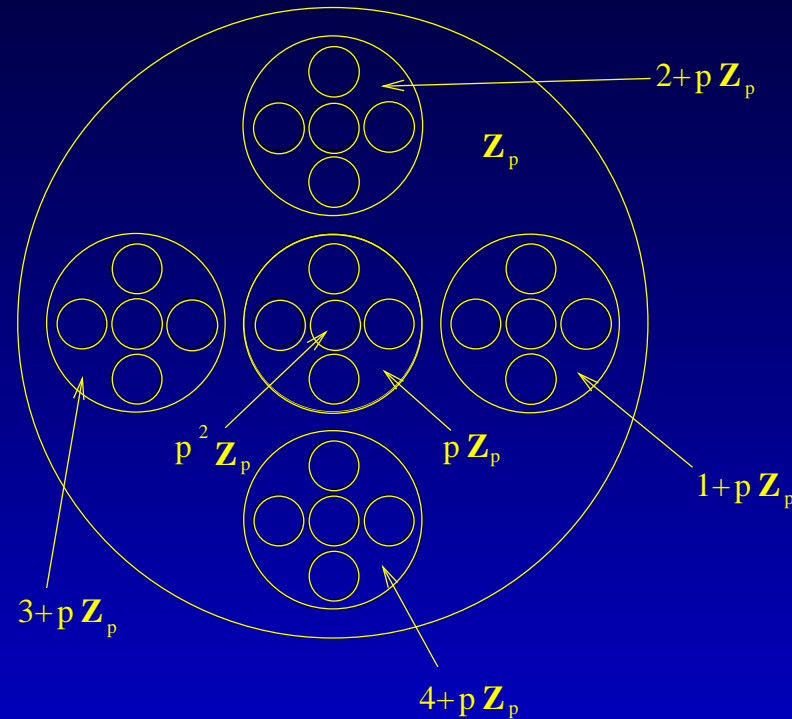
i.e.  $p$ -adic integers of the form

$$a = a_0 + pa_1 + \dots + p^m a_m + \dots$$

with  $a_0 \neq 0$ .

# Topology

The topology of  $\mathbb{Z}_p$ , for  $p = 5$ :



Note that every point in an open ball is a center of that ball.

# Igusa local zeta function

The Igusa local zeta function associated to a polynomial  $f(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$  is defined as

$$Z(s) = \int_{\mathbb{Z}_p^n} |f(x_1, \dots, x_n)|_p^s dx_1 \dots dx_n,$$

$s \in \mathbb{C}, \operatorname{Re}(s) > 0.$

We use the convention  $t = p^{-s}.$

# Stationary Phase Formula

$$Z(s) = (p^n - |N_0|)p^{-n} + (|N_0| - |S|)p^{-n}t \left( \frac{1 - p^{-1}}{1 - p^{-1}t} \right) \\ + \sum_{\alpha \in S} \int_{\alpha + p\mathbb{Z}_p^n} |f(x_1, \dots, x_n)|^s dx_1 \dots dx_n$$

where

$$N_0 = \{(x_1, \dots, x_n) \in \mathbb{F}_p^n \mid f(x_1, \dots, x_n) \equiv 0 \pmod{p}\}$$

and

$$S = \{(x_1, \dots, x_n) \in N_0 \mid \frac{\partial f}{\partial x_i}(x) \equiv 0 \pmod{p}, 1 \leq i \leq n\}.$$

# Ex. 1 - $f(x) = x$

$$N_0 = \{x \mid x \equiv 0 \pmod{p}\} \Rightarrow |N_0| = 1$$

$$S = \{x \in N_0 \mid \frac{\partial f}{\partial x}(x) \equiv 0 \pmod{p}\} = \emptyset \Rightarrow |S| = 0,$$

so using SPF...

$$\begin{aligned} Z(s) &= (p-1)p^{-1} + (1-0)p^{-1}t \left( \frac{1-p^{-1}}{1-p^{-1}t} \right) \\ &= \frac{1-p^{-1}}{1-p^{-1}t} \end{aligned}$$

$$\mathbf{Ex. 2 - } f(x, y, z) = (x - y)^2 + z$$

$$N_0 = \{(x, y, -(x - y)^2)\} \Rightarrow |N_0| = p^2$$

$$S = \emptyset \Rightarrow |S| = 0$$

Zeta function:

$$\begin{aligned} Z(s) &= (p^3 - p^2)p^{-3} + (p^2 - 0)p^{-3}t \left( \frac{1 - p^{-1}}{1 - p^{-1}t} \right) \\ &= \frac{1 - p^{-1}}{1 - p^{-1}t} \end{aligned}$$

# Support of $f$

Given a polynomial

$$f(x_1, \dots, x_n) = \sum_{\omega \in \mathbb{N}^n} a_{\omega} x_1^{\omega_1} \dots x_n^{\omega_n},$$

the support of  $f$  is defined as

$$\text{supp}(f) = \{\omega \in \mathbb{N}^n \mid a_{\omega} \neq 0\}.$$

Ex)

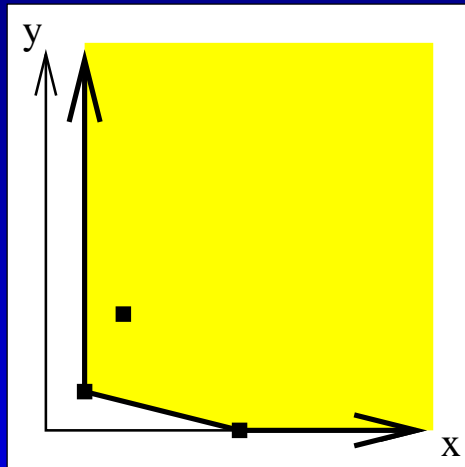
$$f(x, y) = xy - x^5 + x^2y^3$$

$$\text{supp}(f) = \{(1, 1), (5, 0), (2, 3)\}$$

# Newton polyhedron

The *Newton polyhedron*  $\Gamma(f)$  of a polynomial  $f(x_1, \dots, x_n)$ ,  $f(0)=0$ , is the convex hull in  $(\mathbb{R}^+)^n$  of the set

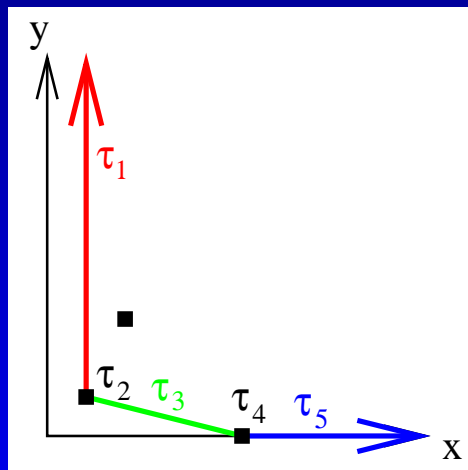
$$\bigcup_{\omega \in \text{supp}(f)} \omega + (\mathbb{R}^+)^n.$$



$$f(x, y) = xy - x^5 + x^2y^3$$

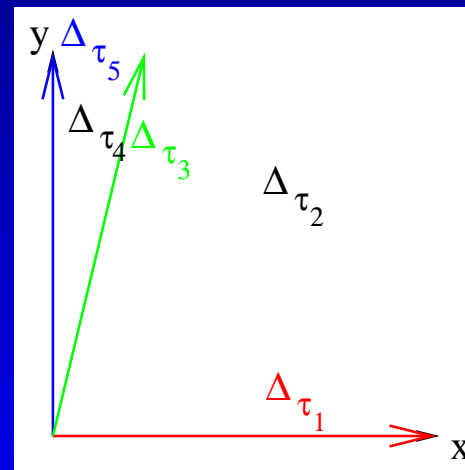
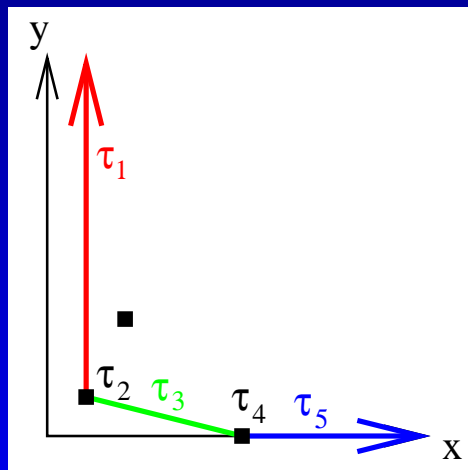
# Faces and associated cones

- A *face*  $\tau$  of  $\Gamma(f)$  is the intersection of  $\Gamma(f)$  with a supporting hyperplane that does not intersect the interior of  $\Gamma(f)$ . A *facet* is a face of dimension  $n - 1$ .



# Faces and associated cones

- A *face*  $\tau$  of  $\Gamma(f)$  is the intersection of  $\Gamma(f)$  with a supporting hyperplane that does not intersect the interior of  $\Gamma(f)$ . A *facet* is a face of dimension  $n - 1$ .
- The *cone* associated to a facet  $\tau$  is the normal vector to  $\tau$ . The cone for a face that is not a facet is the span of the cones for all facets containing the face.



# Degeneracy

Given a polynomial  $f(x_1, \dots, x_n)$ , the polynomial  $f_\tau$  is composed of the terms of  $f$  whose support is equal to  $\text{supp}(f) \cap \tau$ .

# Degeneracy

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A polynomial  $f(x_1, \dots, x_n)$  is *non-degenerate* with respect to all faces of its Newton polyhedron if the system

$$\begin{cases} f_\tau(x_1, \dots, x_n) \equiv 0 \pmod{p} \\ \frac{\partial f_\tau}{\partial x_i}(x) \equiv 0 \pmod{p} \end{cases}$$

has no non-zero solutions.

# $\sigma(\mathbf{k})$ and $m(\mathbf{k})$

For an  $n$ -vector  $\mathbf{k}$ ,

$$\sigma(\mathbf{k}) := \sum_{i=1}^n k_i$$

and

$$m(\mathbf{k}) := \inf_{x \in \Gamma(f)} \{\mathbf{k} \cdot \mathbf{x}\}.$$

# Non-degenerate polynomials

For a polynomial  $f(x_1, \dots, x_n)$  that is nondegenerate with respect to all faces of its Newton polyhedron, the Igusa local zeta function associated to  $f$  is

$$Z(s) = \sum_{\tau \in \Gamma(f)} L_{\tau} S_{\Delta_{\tau}},$$

where

$$L_{\tau} = p^{-n} \left( (p-1)^n - p |N_{\tau}| \left( \frac{p^s - 1}{p^{s+1} - 1} \right) \right),$$

$$N_{\tau} = \{(x_1, \dots, x_n) \in (\mathbb{F}_p^*)^n \mid f_{\tau}(x_1, \dots, x_n) \equiv 0 \pmod{p}\},$$

$$S_{\Delta_{\tau}} = \sum_{\mathbf{k}} p^{-(\sigma(\mathbf{k}) + m(\mathbf{k})s)}.$$

# Degenerate polynomials

For a polynomial which is degenerate with respect to some faces of its Newton polyhedron,  $S_{\Delta_\tau}$  doesn't change, but  $L_\tau$  does.

$$\overline{L}_\tau = p^{-n}((p-1)^n - |N_\tau|) + (|N_\tau| - |S|)p^{-n}t \left( \frac{1 - p^{-1}}{1 - p^{-1}t} \right).$$

Note that for all faces for which  $f_\tau$  is non-degenerate,  $L_\tau$  remains as in the original formula.

# Degenerate polynomials 2

$$Z(s) = \sum_{\tau \text{ nondeg.}} L_{\tau} S_{\Delta_{\tau}}$$

$$+ \sum_{\tau \text{ deg.}} \left( \overline{L_{\tau} S_{\Delta_{\tau}}} + \sum_{\mathbf{k}} \left( p^{-(\sigma(\mathbf{k}) + m(\mathbf{k})s)} \sum_{\alpha \in S} \int_{\alpha + p\mathbb{Z}_p^n} |f_{\tau} + p\tilde{f}|^s du_1 \dots du_n \right) \right)$$

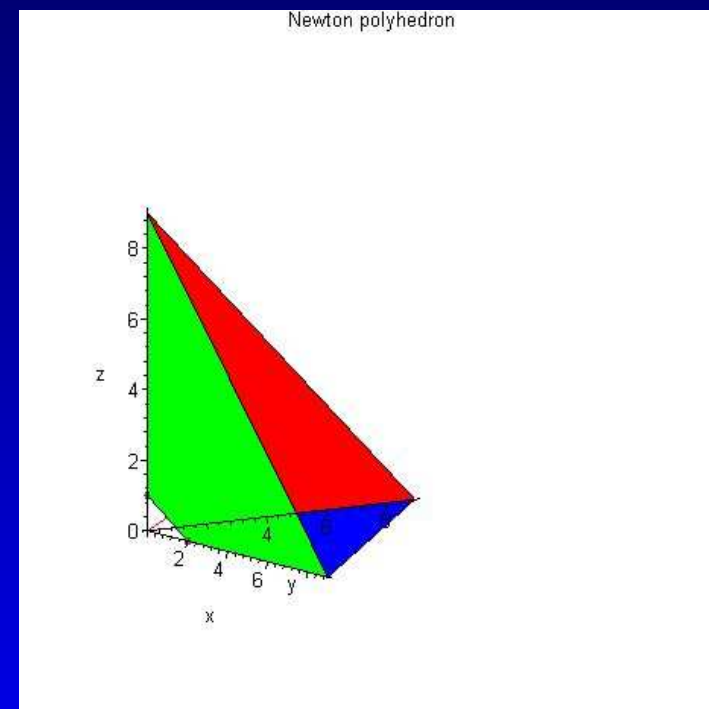
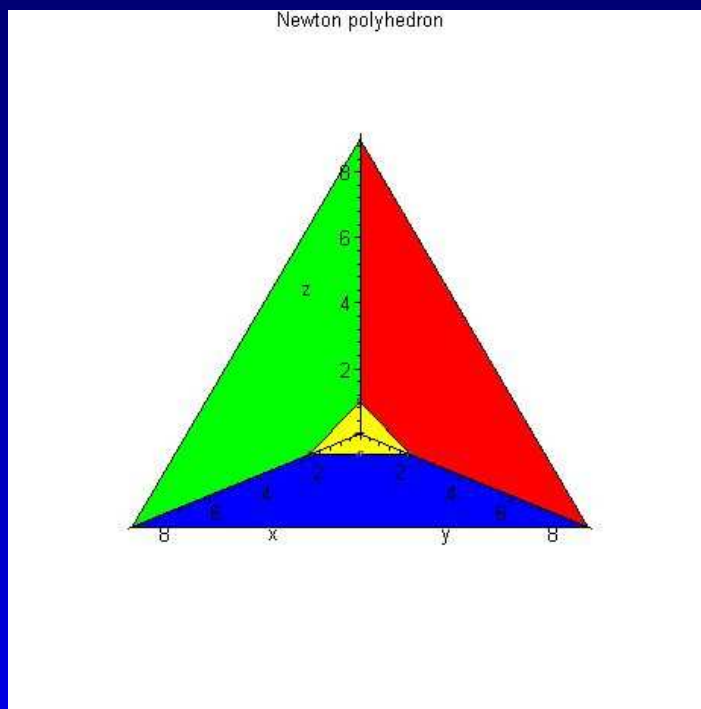
where  $f_{\tau} + p\tilde{f} = p^{m(\mathbf{k})} f$ .

# Example

Recall the polynomials from earlier:

1.  $f(x) = x$

2.  $f(x, y, z) = (x - y)^2 + z$



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$$Z(s) = \frac{1 - p^{-1}}{1 - p^{-1}t}$$

for both polynomials, but (1) is non-degenerate while (2) is degenerate!

# Future research

- Compare polynomials, both non-degenerate and degenerate, which have the same ILZF.

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- Compare polynomials, both non-degenerate and degenerate, which have the same ILZF.
- Find classes of polynomials for which more can be said about the integral over the singular points in the Newton polyhedron method.

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